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FIRST CLASS CERTIFICATE OF MAILING

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October 29, 2004

Yuko Tanaka Name 1. Turku Signature Crober 29, 2004

Attorney's Docket No. 42390P11429

Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re	Patent Application of:)	
	Liu et al.	Examiner:	Pritchett, Joshua L.
Appli	cation No. 09/881,218) Art Unit:	2872
Filed:	June 13, 2001))	
For:	METHOD AND APPARATUS FOR TUNING A BRAGG GRATING IN A SEMICONDUCTOR SUBSTRATE)))	
Comr	Stop Amendment missioner for Patents Box 1450)	

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
<u>X</u>	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C F R 81 97(e): and

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: (Vc+. 77, 0007

Cory G. Claassen Reg. No. 50,296

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (206) 292-8600 Substitute for Form 1449/PTO

INFORMATION DISCLOS URE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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١	Complete if Known			
j	Application Number	09/881,218		
•	Filing Date	June 13, 2001		
	First Named Inventor:	Liu et al.		
	Art Unit	2872		
	Examiner Name	Pritchett, Joshua L.		
	Art Unit	2872		

Sheet	1		of	1	Attorney Docket Number	42390P11429
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
			Number-Kind Code ² (If known)	MM-DD-YYYY		Passages or Relevant Figures Appear
·	1.	US-	6,748,138B2	06-08-2004	Wang et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	

Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.